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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/420,719	10/20/1999	MARIKO MIYASHITA	10059-308(P2)	3194

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2005 MARKET STREET, SUITE 2200
PHILADELPHIA, PA 19103-7013

EXAMINER

PADMANABHAN, KARTIC

ART UNIT	PAPER NUMBER
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1641

DATE MAILED: 07/29/2003

Ref

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application N .

09/420,719

Applicant(s)

MIYASHITA ET AL.

Examiner

Kartic Padmanabhan

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 28 April 2003.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 19,24,25,29 and 30 is/are pending in the application.
- 4a) Of the above claim(s) 30 is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 19,24,25 and 29 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☒ Claim(s) 19,24,25,29 and 30 are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 20 October 1999 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892) 4) ☐ Interview Summary (PTO-413) Paper No(s). _____
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948) 5) ☐ Notice of Informal Patent Application (PTO-152)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____ 6) ☐ Other: _____

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DETAILED ACTION

Election/Restrictions

1. Newly submitted claim 30 is directed to an invention that is independent or distinct from the invention originally claimed for the following reasons: Claim 30 forms a different group than claims 19, 24-25, and 29 because claim 30 requires both an adsorbent that removes interferants and an agent that converts it into a harmless substance, which is not required of any of claims 19, 24-25, and 29, and has not been previously searched or considered. Therefore, the inventions are distinct, each from the other.

Since applicant has received an action on the merits for the originally presented invention, this invention has been constructively elected by original presentation for prosecution on the merits. Accordingly, claim 30 is withdrawn from consideration as being directed to a non-elected invention. See 37 CFR 1.142(b) and MPEP § 821.03.

Claim Rejections - 35 USC § 103

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.

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4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

4. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

5. Claims 19, 24, and 25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Obata et al. (US Pat. 5,571,419) in view of Short et al. (US Pat. 6,183,740 B1) or Lihme et al. (US Pat. 5,935,442).

Obata et al. teach a method and apparatus for producing pure water. According to the reference, raw water is introduced into filtration units through a pipe and treated. After undergoing cation exchange, the water is supplied to an acidic softened water tank and stored. It is inherent that the pH of the raw water is altered in some way in this tank. An oxidizing agent, which may be hydrogen peroxide, is added to the raw water through a pipe. A heater provided with a boiler then heats the water. The water is then introduced into a reaction chamber where urea is decomposed by catalytic heat treatment. At the end of the process, the now pure water is released (col. 4, lines 30-67 and Figs. 1-8). Since well water and tap water can be filtered using the apparatus of the reference, it is inherent that the purified water is fit for human consumption in some fashion, and a person tasting water is interpreted as a biosensor analyzing a sample. However, the reference does not teach the use of enzymes as the catalyst.

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Short et al. teach the use of phytases in water treatment. The reference teaches that biological enzymes are effective in the bioconversion of potentially noxious substances into useful bioproducts.

Lihme et al. teach water treatment, wherein the active substance may be an enzyme, catalyst, or other treatment material.

It would have been *prima facie* obvious to one of ordinary skill in the art at the time of the invention to use enzymes for water treatment as in Short et al. or Lihme et al. with the treatment method of Obata et al. because both Short et al. and Lihme et al. teach that enzymes are useful in water treatment methods. In addition, Lihme et al. contemplate the use of various agents for water treatment, including catalysts and enzymes, which would give one of skill in the art a reasonable expectation of success in using any number of agents, such as enzymes, to convert noxious substances into harmless ones, depending on the makeup of the water being treated.

6. Claims 19 and 25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Yasuda et al. (US Pat. 5,378,635). Yasuda et al. teach a method of measuring catecholamine. The reference discloses sample pretreatment means and sample dispensing means in the form of a syringe, which is couple to the pretreatment means. A syringe inherently has sample introduction and sample releasing parts. Maleimide, mixed with a buffer solution to adjust the pH to around 7.3, is added to the sample dispensing means or the sample pretreatment means and reacts with SH compounds, which inhibits the interference of fluorescence inducing reaction. However, the reference does not teach that the sample pretreatment means is physically independent of the biosensor.

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It would have been *prima facie* obvious to one of ordinary skill in the art at the time of the invention to make the pretreatment means separable from the biosensing means, since it has been held that constructing a formerly integral structure in various elements involves only routine skill in the art. *Nerwin v. Erlichman*, 168 USPQ 177, 179. In addition, in this case, it is noted that although the syringe may be connected to the biosensing means via tubing, the syringe can be made separable from the biosensor by simply removing it from the tubing. As such, after the sample is pretreated in the syringe, the syringe can then be attached to the tubing to convey the treated sample to the biosensor.

7. Claims 19 and 25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Heller et al. (US Pat. 5,262,305), Foulds et al. (US Pat. 5,124,253), or Nankai et al. (US Pat. 4,431,507).

Heller et al. teach a biosensor including an interferant eliminating catalyst. The apparatus of the invention has an interferant eliminating layer, including a catalyst, wherein the catalyst is capable of oxidizing and thereby eliminating a plurality of interfering compounds from the sample before it reaches the sensor (col. 1). The catalyst mediates oxidation of an interferant in the presence of an oxidant to yield a non-interfering compound that does not interfere with the biosensor's function. In addition, the catalyst may be a natural enzyme (col. 4). Furthermore, the apparatus of the reference inherently includes a sample introducing part and sample releasing part, as these parts are interpreted as any part of the apparatus that allows the entry and release of a sample. These parts are also located on either sides of the control means, as a sample enters the top of the layer, travels through the layer, where interferants are removed, and then is released to the sensing layer from the bottom of the interferant removing layer (figures 2 and 3).

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Foulds et al. teach a device and method, wherein isozymes are employed to remove or inactivate endogenous alkaline phosphatase, thereby minimizing interference. In addition, the system also comprises a suitable buffer to alter the pH of the sample solution, often blood with a pH of 7.4, to an alkaline value suited to the enzyme of the test element (col. 4). The apparatus of the reference inherently includes a sample introducing part and sample releasing part, as these parts are interpreted as any part of the apparatus that allows the entry and release of a sample. These parts are located on either sides of the control means, as a sample enters one side of the layer, travels through the layer, where interferants are removed, and then is released on the other side.

Nankai et al. teach a device in which an electrode is provided to electrochemically oxidize interfering materials in the sample solution. The enzyme of the electrode oxidizes interfering materials such as uric or ascorbic acid (col. 3). The apparatus of the reference inherently includes a sample introducing part and sample releasing part, as these parts are interpreted as any part of the apparatus that allows the entry and release of a sample. However, none of the references teach sample pretreatment means that is physically independent of the biosensor.

It would have been *prima facie* obvious to one of ordinary skill in the art at the time of the invention to make the pretreatment means separable from the biosensing means of the references, since it has been held that constructing a formerly integral structure in various elements involves only routine skill in the art. *Nerwin v. Erlichman*, 168 USPQ 177, 179.

8. Claim 29 is rejected under 35 U.S.C. 103(a) as being unpatentable over Obata et al. (US Pat. 5,571,419) in view of Short et al. (US Pat. 6,183,740 B1) or Lihme et al. (US Pat.

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5,935,442) as applied to claims 19, 24, and 25 above, and further in view of Blatt et al. (US Pat. 5,945,345).

Obata et al., Short et al. and Lihme et al. teach a pretreatment device, as previously discussed. However, the references do not teach elastic sample supply means.

Blatt et al. teach a device for removing interferants comprising a filter including a solid phase support and an active chemical component for binding and immobilizing the interferant. In one embodiment, a sample is introduced to a solid phase support where the interfering substance is immobilized, and the “clean” sample is released. The device of the reference may also comprise a sample pad made of nylon, which is inherently elastic (stretchable). The sample is supplied to this pad, which qualifies this component as a sample supply unit. This unit has not been limited in any way to a unit that supplies a sample to the biosensor.

It would have been *prima facie* obvious to one of ordinary skill in the art at the time of the invention to use elastic sample supply means as in Blatt et al. with the modified device of Obata et al., Short et al. and Lihme et al. because an elastic supply unit allows for controlling sample release by compressing the elastic material in some way. In addition, one of skill in the art could have used a sample supply means made of any number of materials with the modified device of Obata et al., Short et al. and Lihme et al. with a reasonable expectation of success.

Response to Arguments

9. Applicant's arguments with respect to the claims have been considered but are moot in view of the new ground(s) of rejection.

Conclusion

Claims 19, 24-25, and 29 are rejected.

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kartic Padmanabhan whose telephone number is 703-305-0509. The examiner can normally be reached on M-F (8:30-5:00).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Long Le can be reached on 703-305-3399. The fax phone numbers for the organization where this application or proceeding is assigned are 703-746-5207 for regular communications and 703-305-3014 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-0196.

Kartic Padmanabhan
Patent Examiner
Art Unit 1641

July 28, 2003


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07/28/03